# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: ROZALINA DIMITROVA, et al.)	Examiner:
Serial Number: Pending )	Art Unit:
Filed: Herewith )	Confirmation No
For: BOTULINUM TOXIN INJECTION ) GUIDE )	Irvine, California

### **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant's provide with regard to the patent application entitled BOTULINUM TOXIN INJECTION GUIDE, filed herewith one copy of a documents of which they are aware, which may be material to the examination of this application, and in respect of which there may be a duty of disclosure under 37 C.F.R. §1.56. A listing of documents submitted is set forth on the attached Information Disclosure Citation (Form PTO-1449).

While these documents may be material pursuant to 37 C.F.R. §1.56, their disclosure is not intended to constitute an admission that the documents are prior art in regard to this invention. The filing of this Statement should not be construed to mean that a search has been conducted or that no other material documents or information exists. Please do not hesitate to contact the undersigned should any questions arise regarding this Statement.

S/N: Pending Docket 17638 (BOT

The Commissioner is hereby authorized to charge any fees required or necessary for the filing, processing or entering of this paper or any of the enclosed papers, and to refund any overpayment, to deposit account 01-0885.

Respectfully submitted,

Date: December  $\Re$  , 2003

Stephen Donovan

Registration Number 33,433

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Stephen Donovan Allergan, Inc. 2525 Dupont Drive, T2-7H Irvine, California 92623-9534

## **CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. § 1.10**

I hereby certify that this Information Disclosure Statement and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date December 29, 2003, in an envelope as "Express Mail Post Office to Addressee" Mailing Label number EV295682673US addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date: December 29, 2003

Susan Bartholomew

Name of person mailing paper

Signature of person mailing paper

## LIST OF ART CITED BY APPLICANT

ATTY. DOCKET:	17638 (BOT)	SERIAL NO.: PENDING
APPLICANT	DIMITROVA, ET AL	TITLE: BOTULINUM TOXIN INJECTION GUIDE
FILING DATE:	HEREWITH	GROUP

#### **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE (if applicable)
	AA	10/621,054	7/15/03	Caers, et al.			July 15, 2003
	AB	5,437,291	8/1/1995	Pasricha et al.			
	AC	5,670,484	9/23/1997	Binder			
	AD	5,714,468	2/3/1998	Binder			
	AE	5,766,605	6/16/1998	Sanders et al.			
	AF	6,063,768	5/16/2000	First			, , , , , , , , , , , , , , , , , , , ,
	AG	6,063,768	5/16/2000	First			
-	AH	6,113,915	9/5/2000	Aoki et al.			
	Al	6,139,845	10/31/2000	Donovan			
	AJ	6,143,306	11/7/2000	Donovan			
	AK	6,265,379	7/24/2001	Donovan			
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	AN	6,306,423	10/23/2001	Donovan et al.			
	AO	6,312,708	11/6/2001	Donovan			
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	AS	6,395,277	5/28/2002	Graham		_	
	AT	6,423,319	7/23/2002	Brooks et al.			_
	AU	6,458,365	10/1/2002	Aoki et al.			
	AV	6,464,986	10/15/2002	Aoki et al.			

#### **FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION (yes/n )
	BA						

### **OTHER ART**

(Including Author, Title, Date, Pertinent Pages, etc.)

CA	Aoki K.R., <i>Pharmacology and immunology of botulinum toxin serotypes</i> , J Neurol 248(suppl 1);I/3 –I/10:2001
СВ	Aoki KR, Cui M, Mechanisms of the Antinociceptive Effect of Subcutaneous BOTOX®: Inhibition of Peripheral and Central Nociceptive Processing, Cephalalgia 23(7);649:2003

EXAMINER	DATE CONSIDERED
*EXAMINER: Initial if reference	considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in
conformance and not considered.	Include copy of this form with next communication to applicant.

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C	Argoff, A Focused Review on the Use of Botulinum Toxins for Neuropathic Pain, Clin J Pain 18(6 Suppl);S177-S181:2002
C	Bigalke H., et al., Botulinum A Neurotoxin Inhibits Non-Cholinergic Synaptic Transmission in Mouse Spinal Cord Neurons in Culture, Brain Research 360;318-324:1985
C	Bigalke H., et al., Tetanus Toxin and Botulinum A Toxin Inhibit Release and Uptake of
	Various Transmitters, as Studied with Particulate Preparations From Rat Brain and Spinal Cord, Naunyn-Schmiedeberg's Arch Pharmacol 316;244-251:1981
C	Binz T. et al., The Complete Sequence of Botulinum Neurotoxin Type A and Comparison with Other Clostridial Neurotoxins, J Biological Chemistry 265(16);9153-9158:1990
C	Bushara K., Botulinum toxin and rhinorrhea, Otolaryngol Head Neck Surg 1996;114(3):507
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C	Gonelle-Gispert, C., et al., <i>SNAP-25a and -25b isoforms are both expressed in insulin-secreting cells and can function in insulin secretion</i> , Biochem J 1;339 (pt 1): 159-65:1999
C	M Grimalt, R., Multi-injection plate for botulinum toxin application in the treatment of axillary hyperhidrosis, Dermatol Surg 2001 Jun;27(6): 543-544
C	N Habermann E., Inhibition by Tetanus and Botulinum A Toxin of the release of
	[ <sup>3</sup> H]Noradrenaline and [ <sup>3</sup> H]GABA From Rat Brain Homogenate, Experientia 44;224-226:1988
C	Habermann, E., et al., <i>Tetanus Toxin and Botulinum A and C Neurotoxins Inhibit</i> Noradrenaline Release From Cultured Mouse Brain, J Neurochem 51(2);522-527:1988)
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C	Jankovic J. et al., <i>Therapy With Botulinum Toxin</i> , Marcel Dekker, Inc., (1994), page 5
C	Marchese-Ragona, R., et al., <i>Management of parotid sialocele with botulinum toxin,</i> The Laryngoscope, Aug. 109(8):1344-1346:1999
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С	Sanchez-Prieto, J., et al., Botulinum Toxin A Blocks Glutamate Exocytosis From Guinea Pig Cerebral Cortical Synaptosomes, Eur J. Biochem 165;675-681:1897

EXAMINER\_\_\_\_\_ DATE CONSIDERED

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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CW	Shantz, E.J., et al, <i>Properties and use of Botulinum toxin and Other Microbial Neurotoxins in Medicine</i> , Microbiol Rev. 56;80-99:1992
СХ	Singh, B.R., et al. <i>Critical aspects of bacterial protein toxins,</i> Natural Toxins II, Ch. 4, edited by B.R. Singh, et al., Plenum Press, New York (1976), pgs. 63-84
CY	Sloop, R.R., et al., Reconstituted botulinum toxin type A dose not lose potency I humans if it is refrozen or refrigerated for 2 weeks before use., Neurology, 48:249-53:1997
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